

---

## AS LHV Pank

Corporate Name

AS LHV Pank

Commercial Registry Number

10539549

Address

Tartu mnt 2, 10145 Tallinn

Phone

+372 680 0400

Fax

+372 680 0410

E-mail

[lhv@lhv.ee](mailto:lhv@lhv.ee)

Web Address

<http://www.lhv.ee>

Date of Booking (latest)

30/11/2020

Capital Stock

106 500 000

## **Licenses**

**Permit: 4.1-1/37**

Number of a decision to grant an activity licence or to enter in a list

Date of a decision to grant an activity licence or to enter in a list

06/05/2009

Service specified by license

License of the credit institution

### **Permit**

Date of a decision to grant an activity licence or to enter in a list

16/03/2020

Service specified by license

Authorisation to issue covered bonds according to subsection 3 (1) of Covered Bonds Act.

---

## Branches

### Country

United Kingdom of Great Britain and Northern Ireland

### Address

1 Old Street Yard, London EC1Y 8AF

### Phone

+372 680 0400

### E-mail

info@lhv.ee

### Licences

The number of the decision to forward the data and documents submitted to establish a branch in a another member state

4.1-1/204

---

---

The date of the ruling to forward the data and documents submitted to establish a branch in another member state

27/11/2017

List of services provided by a branch

1. Taking deposits and other repayable funds

4. Payment services as defined in Article 4(3) of Directive 2007/64/EC of the European Parliament and of the Council

4a. Services enabling cash to be placed on a payment account as well as all the operations required for operating a payment account

4b. Services enabling cash withdrawals from a payment account as well as all the operations required for operating a payment account

---

---

4c. Execution of payment transactions, including transfers of funds on a payment account with the user's payment service provider or with another payment service provider: — execution of direct debits, including one- off direct debits — execution of payment transactions through a payment card or a similar device — execution of credit transfers, including standing orders

4d. Execution of payment transactions where the funds are covered by a credit line for a payment service user: — execution of direct debits, including one- off direct debits — execution of payment transactions through a payment card or a similar device — execution of credit transfers, including standing orders

4e. Issuing and/or acquiring of payment instruments

4g. Execution of payment transactions where the consent of the payer to execute a payment transaction is given by means of any telecommunication, digital or IT device and the payment is made to the telecommunication, IT system or network operator, acting only as an intermediary between the payment service user and the supplier of the goods and services (\*)

4f. Money remittance

---

The activity referred to in point 4g does not include the granting of credits in accordance with the conditions set out in Article 16(3) of Directive 2007/64/EC

## **List of cross-border services provided**

### **List of cross-border services provided**

The date of receiving the right to provide cross-border services

12/04/2016

The number of the ruling granting the right to provide cross-border services

4.1-1/49

The date of the ruling granting the right to provide cross-border services

04/04/2016

List of services

1. Taking deposits and other repayable funds

Republic of Latvia

---

2. Lending including, inter alia: consumer credit, credit agreements relating to immovable property, factoring, with or without recourse, financing of commercial transactions (including forfeiting)

Republic of Latvia

3. Financial leasing

Republic of Latvia

4. Payment services as defined in Article 4(3) of Directive 2007/64/EC of the European Parliament and of the Council

Republic of Latvia

4a. Services enabling cash to be placed on a payment account as well as all the operations required for operating a payment account

Republic of Latvia

---

---

4b. Services enabling cash withdrawals from a payment account as well as all the operations required for operating a payment account

Republic of Latvia

4c. Execution of payment transactions, including transfers of funds on a payment account with the user's payment service provider or with another payment service provider: — execution of direct debits, including one- off direct debits — execution of payment transactions through a payment card or a similar device — execution of credit transfers, including standing orders

Republic of Latvia

4d. Execution of payment transactions where the funds are covered by a credit line for a payment service user: — execution of direct debits, including one- off direct debits — execution of payment transactions through a payment card or a similar device — execution of credit transfers, including standing orders

Republic of Latvia

4e. Issuing and/or acquiring of payment instruments

---



---

Republic of Latvia

4g. Execution of payment transactions where the consent of the payer to execute a payment transaction is given by means of any telecommunication, digital or IT device and the payment is made to the telecommunication, IT system or network operator, acting only as an intermediary between the payment service user and the supplier of the goods and services (\*)

Republic of Latvia

4f. Money remittance

Republic of Latvia

6. Guarantees and commitments

Republic of Latvia

7. Trading for own account or for account of customers in any of the following:

---

Republic of Latvia

7a. Money market instruments (e.g. cheques, bills, certificates of deposits)

Republic of Latvia

7b. Foreign exchange

Republic of Latvia

7c. Financial futures and options

Republic of Latvia

7d. Exchange and interest-rate instruments

Republic of Latvia

---

---

7e. Transferable securities

Republic of Latvia

8. Participation in securities issues and the provision of services relating to such issues

Republic of Latvia

9. Advice to undertakings on capital structure, industrial strategy and related questions and advice as well as services relating to mergers and the purchase of undertakings

Republic of Latvia

11. Portfolio management and advice

Republic of Latvia

---

---

12. Safekeeping and administration of securities

Republic of Latvia

14. Safe custody services

Republic of Latvia

The activity referred to in point 4g does not include the granting of credits in accordance with the conditions set out in Article 16(3) of Directive 2007/64/EC

Republic of Latvia

**List of cross-border services provided**

The date of receiving the right to provide cross-border services

20/07/2010

---

---

The number of the ruling granting the right to provide cross-border services

4.1-1/45

The date of the ruling granting the right to provide cross-border services

29/07/2010

List of services

1. Taking deposits and other repayable funds

Republic of Finland

2. Lending including, inter alia: consumer credit, credit agreements relating to immovable property, factoring, with or without recourse, financing of commercial transactions (including forfeiting)

Republic of Finland

4. Payment services as defined in Article 4(3) of Directive 2007/64/EC of the European Parliament and of the Council

Republic of Finland

---

---

5. Issuing and administering other means of payment (e.g. travellers' cheques and bankers' drafts) insofar as such activity is not covered by point 4

Republic of Finland

6. Guarantees and commitments

Republic of Finland

7. Trading for own account or for account of customers in any of the following:

Republic of Finland

7a. Money market instruments (e.g. cheques, bills, certificates of deposits)

Republic of Finland

---

---

7b. Foreign exchange

Republic of Finland

7c. Financial futures and options

Republic of Finland

7d. Exchange and interest-rate instruments

Republic of Finland

7e. Transferable securities

Republic of Finland

---

---

8. Participation in securities issues and the provision of services relating to such issues

Republic of Finland

9. Advice to undertakings on capital structure, industrial strategy and related questions and advice as well as services relating to mergers and the purchase of undertakings

Republic of Finland

10. Money broking

Republic of Finland

11. Portfolio management and advice

Republic of Finland

---



---

## 12. Safekeeping and administration of securities

Republic of Finland

### List of cross-border services provided

The date of receiving the right to provide cross-border services

06/03/2018

The number of the ruling granting the right to provide cross-border services

4.1-1/40

The date of the ruling granting the right to provide cross-border services

05/03/2018

List of services

4c. Execution of payment transactions, including transfers of funds on a payment account with the user's payment service provider or with another payment service provider: — execution of direct debits, including one- off direct debits — execution of payment transactions through a payment card or a similar device — execution of credit transfers, including standing orders

Kingdom of Belgium

---

4e. Issuing and/or acquiring of payment instruments

Kingdom of Belgium

4g. Execution of payment transactions where the consent of the payer to execute a payment transaction is given by means of any telecommunication, digital or IT device and the payment is made to the telecommunication, IT system or network operator, acting only as an intermediary between the payment service user and the supplier of the goods and services (\*)

Kingdom of Belgium

The activity referred to in point 4g does not include the granting of credits in accordance with the conditions set out in Article 16(3) of Directive 2007/64/EC

Kingdom of Belgium

**List of cross-border services provided**

The date of receiving the right to provide cross-border services

---

---

19/06/2018

The number of the ruling granting the right to provide cross-border services

4.1-1/86

The date of the ruling granting the right to provide cross-border services

18/06/2018

List of services

1. Taking deposits and other repayable funds

Federal Republic of Germany

**List of cross-border services provided**

The date of receiving the right to provide cross-border services

19/06/2018

The number of the ruling granting the right to provide cross-border services

4.1-1/86

---

---

Piiriülese teenuse osutamise õiguse muutmise või kehtetuks tunnistamise aluseks oleva otsuse tegemise number

4.1-1/17

The date of the ruling granting the right to provide cross-border services

18/06/2018

Piiriülese teenuse osutamise õiguse muutmise või kehtetuks tunnistamise aluseks oleva otsuse tegemise kuupäev

03/02/2020

List of services

1. Taking deposits and other repayable funds

Republic of Austria

4c. Execution of payment transactions, including transfers of funds on a payment account with the user's payment service provider or with another payment service provider:

- execution of direct debits, including one-off direct debits
- execution of payment transactions through a payment card or a similar device
- execution of credit transfers, including standing orders

Republic of Austria

---

## **List of cross-border services provided**

The date of receiving the right to provide cross-border services

14/05/2019

The number of the ruling granting the right to provide cross-border services

4.1-1/65

The date of the ruling granting the right to provide cross-border services

13/05/2019

List of services

1. Taking deposits and other repayable funds

Kingdom of the Netherlands

## **List of cross-border services provided**

The date of receiving the right to provide cross-border services

14/05/2019

---

---

The number of the ruling granting the right to provide cross-border services

4.1-1/65

Piiriülese teenuse osutamise õiguse muutmise või kehtetuks tunnistamise otsuse tegemise kuupäev

13/05/2019

List of services

1. Taking deposits and other repayable funds

Kingdom of Spain

### **List of cross-border services provided**

The date of receiving the right to provide cross-border services

05/02/2020

The number of the ruling granting the right to provide cross-border services

4.1-1/17

The date of the ruling granting the right to provide cross-border services

---

---

03/02/2020

List of services

- 4c. Execution of payment transactions, including transfers of funds on a payment account with the user's payment service provider or with another payment service provider:
- execution of direct debits, including one-off direct debits
  - execution of payment transactions through a payment card or a similar device
  - execution of credit transfers, including standing orders

Kingdom of Sweden

**List of cross-border services provided**

The date of receiving the right to provide cross-border services

05/02/2020

The number of the ruling granting the right to provide cross-border services

4.1-1/17

The date of the ruling granting the right to provide cross-border services

03/02/2020

List of services

---

---

4c. Execution of payment transactions, including transfers of funds on a payment account with the user's payment service provider or with another payment service provider:

- execution of direct debits, including one-off direct debits
- execution of payment transactions through a payment card or a similar device
- execution of credit transfers, including standing orders

Republic of Lithuania

### **List of cross-border services provided**

The date of receiving the right to provide cross-border services

05/02/2020

The number of the ruling granting the right to provide cross-border services

4.1-1/17

The date of the ruling granting the right to provide cross-border services

03/02/2020

List of services

4c. Execution of payment transactions, including transfers of funds on a payment account with the user's payment service provider or with another payment service provider:

- execution of direct debits, including one-off direct debits
-



- 
- execution of payment transactions through a payment card or a similar device
  - execution of credit transfers, including standing orders

Kingdom of Denmark

Stakeholders Participation in Percentage

Name

100

AS LHV Group

Issuers regarding who the market making activity is being done Name Beginning of the issuer market making

AS Tallinna Vesi

AS Arco Vara

Madara Cosmetics

Olympic Entertainment Group AS

Tallinna Kaubamaja AS